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## 5 Field of the Invention

## Background to the Invention

30 Scientists have explored various administration routes other than the injection for proteins and peptides. These routes include oral, intranasal, rectal,

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15           The oral routes have received far more attention  
than has the other routes. The sublingual mucosa  
includes the membrane of ventral surface of the tongue  
and the floor of the mouth whereas the buccal mucosa  
constitutes the lining of the cheek. The sublingual  
20 mucosa is relatively permeable thus giving rapid  
absorption and acceptable bioavailability of many drugs.  
Further, the sublingual mucosa is convenient, acceptable  
and easily accessible. This route has been investigated  
clinically for the delivery of a substantial number of  
25 drugs.

The ability of molecules to permeate through the oral mucosa appears to be related to molecular size, lipid solubility and peptide protein ionization. Small molecules, less than 1000 daltons appear to cross mucosa rapidly. As molecular size increases, the permeability decreases rapidly. Lipid soluble compounds are more

Most proteinic drug molecules are extremely large molecules with molecular weight exceeding 6000 daltons. These large molecules have very poor lipid solubility and are practically impermeable. Substances that

Various mechanisms of action of enhancers have been  
20 proposed. These mechanisms of action, at least for  
protein and peptidic drugs include (1) reducing  
viscosity and/or elasticity of mucous layer, (2)  
facilitating transcellular transport by increasing the  
fluidity of the lipid bilayer of membranes, and (3)  
25 increasing the thermodynamic activity of drugs (Critical  
Rev, 117-125, 1991, 1992).

Many enhancers have been tested so far and some have found to be effective in facilitating mucosal administration of large molecule drugs. However, hardly  
30 any penetration enhancing products have reached the market place. Reasons for this include lack of a

5 especially related to bile salts, and some protein  
solubilizing agents is extremely bitter and unpleasant  
taste. This makes their use almost impossible for human  
consumption on a daily basis. Several approaches were  
utilized to improve the taste of the bile salts based  
10 delivery systems, but none one of them are commercially  
acceptable for human consumption to date. Among the  
approaches utilized includes patch for buccal mucosa,  
bilayer tablets, controlled release tablets, use of  
protease inhibitors, buccally administered film patch  
15 devices, and various polymer matrices.

The basic problem associated with the above technologies is the use of large quantities of bile acids and their salts to promote the transport of the large molecules through membranes in the form of localized delivery system using patches or tablets. In spite of using protease inhibitors and polymer coatings the technologies failed to deliver proteinic drugs in the required therapeutic concentrations. Further, the problem is compounded because of the localized site effect of the patch which resulted in severe tissue damage in the mouth. Most attempts were made to deliver large molecules via the oral, nasal, rectal, and vaginal routes using single bile acids or enhancing agents in combination with protease inhibitors and biodegradable polymeric materials. However, it is extremely difficult to achieve therapeutic levels of proteinic drugs using

these formulations. As single enhancing agents fails to loosen tight cellular junctions in the oral, nasal, rectal and vaginal cavities for a required period of time to allow passage of large molecules through the mucosal membranes without further degradation. This problem makes it impractical to use the above mentioned systems for a commercial purpose.

In order to overcome the above mentioned problem of the bitter taste, irritation and the penetration of large molecules through the sublingual, buccal and GI tract mucosal lining, a system has now been designed where protein drug was encapsulated in mixed micelles made up of combination of enhancers, e.g. yolk proteins (lecithins). This system allows opening of the paracellular junctions (tight junctions) in oral as well as in GI tract by GI motility movement with high degree of protease activity preserved and protecting molecules from premature degradation in the hostile acidic and proteolytic GI environment.

It is believed that the mixed micelles encapsulate molecules with high degree of efficiency (>90% encapsulation). These mixed micelles are extremely small in the size (1 nm to 10 nm), and are smaller than the pores of the membranes in the oral cavity or the GI tract. It is therefore believed that the extremely small size of mixed micelles helps encapsulated molecules penetrate efficiently through the mucosal membranes of the oral cavity.

The absorption of proteins and peptides is believed to be enhanced by the diffusion of large molecules entrapped in the mixed micellar form through the aqueous

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The amount of physiologically peptide or protein in the compositions of this invention is typically a

bioavailability of any active substance can never be 100%, that is to say the administered dose of the active drug is not completely absorbed, it is preferable to incorporate slightly larger amount than the desired dosage. Where the dosage form is a spray (aerosol) or the like which is repeatedly dispensed from the same container, it is recommendably so arranged that the unit dose will be slightly greater than the desired dose. It should be understood that dosage should vary with species of warm blood animals such as man, domestic animals, and their body weights. Although the composition of this invention is prepared as the microfine droplets (1 to 10 nm or less) by the virtue of its preparation methods used and suitable combinations of enhancer compound characteristics. The utilization of atomizer or aerosol spray devices (metered dose inhalers or nebulizers) may be useful to further a sufficient reduction of particle size for effective inhalation from the nasal or oral cavity so the drug may successfully absorbed or reach to the specific site.

The therapeutic composition of the present  
30 invention can be stored at room temperature or at cold  
temperature. Storage of proteinic drugs is preferable at

the cold temperature to prevent the degradation of the drugs and to extend their shelf life. While the mixed micellar therapeutic composition of the invention is applied to the mucosal membranes, the sites of administration may be the same as those used for the usual mucosal therapeutic preparation. Generally, oral, transdermal and nasal are the favourite sites of the administration but the composition can be applied to the rectal and vaginal mucosa. According to the physiologically active peptide or protein used, the dosage form and the site of administration, a specific administration method can be selected.

As used herein, the term "edetate" is used herein to refer to pharmaceutically acceptable salts of ethylenediaminetetraacetic acid.

It has also been found that improvements in penetration and absorption of mixed micellar formulations can be achieved by mixing the mixed micellar formulation with propellants such as tetrafluoroethane, heptafluoroethane, dimethylfluoropropane, tetrafluoropropane, butane, isobutane, dimethyl ether and other non-CFC and CFC propellants. Preferably they are delivered through metered dose spray devices. Metered dose inhalers are known and are a popular pulmonary drug delivery form for some drugs. The present formulation, including the propellant, is intended to improve the quality of absorption, stability and performance of many formulations. The compositions have been selected to give enhancement in the penetration through pores, and facilitate absorption of the drugs to reach therapeutic

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propellants as will be appreciated by those skilled in the art.

### Summary of the Invention

In an embodiment, the alkali metal lauryl sulphate,

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the edetate and the alkali metal salicylate are each in a concentration of from 2 to 5 wt./wt.% of the total formulation.

In one embodiment, the edetate is an alkali metal edetate. Preferably the alkali metal edetate is be selected from the group consisting of disodium edetate, dipotassium edetate, and combinations thereof.

In another embodiment, the alkali metal lauryl sulphate is sodium lauryl sulphate.

10        In a further embodiment, the alkali metal  
salicylate is sodium salicylate.

In another embodiment, the lecithin is selected from the group consisting of saturated phospholipid, e.g. Phospholipon-H (trade mark) saturated phospholipid, 15 unsaturated phospholipid, e.g. Phospholipon-G (trade mark) unsaturated phospholipid, phosphatidylcholine, phosphatidyl serine, sphingomyelin, phosphatidylethanolamine, cephalin, and lysolecithin.

In one embodiment, one of the absorption enhancing  
20 compounds is selected from the group consisting of  
hyaluronic acid, pharmaceutically acceptable salts of  
hyaluronic acid and mixtures thereof, the concentration  
such micelle forming compound being from about 1 to  
about 5 wt./wt. %.

25        In another embodiment, suitable for delivery through nasal passages, mixed micellar pharmaceutical formulation is suitably diluted to avoid irritation of the nasal passages.

Another aspect of the present invention provides a  
30 mixed micellar pharmaceutical formulation, comprising a  
pharmaceutical agent in micellar form, water, an alkali

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25

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In one embodiment, the alkali metal C8 to C22 alkyl sulphate is in a concentration of from 2 to 5 wt./wt.% of the total formulation.

In another embodiment, the alkali metal C8 to C22 alkyl sulphate is sodium lauryl sulphate.

In another embodiment, the lecithin is saturated or unsaturated, preferably selected from the group consisting of phosphatidylcholine, phosphatidyl serine, sphingomyelin, phosphatidylethanolamine, cephalin, and  
10 lysolecithin.

In yet another embodiment, one of the micelle forming compounds is selected from the group consisting of hyaluronic acid, pharmaceutically acceptable salts of hyaluronic acid, polidocanol alkyl ethers, trihydroxy  
15 oxo cholanyl glycine, polyoxyethylene ethers and mixtures thereof, the concentration such absorption enhancing compound being from about 1 to about 5 wt./wt.%.

Preferably, the ratio of pharmaceutical agent, e.g.  
20 insulin, to propellant is, *as the ratio practiced in the art* from 5:95 to 25:75.

*Such as*  
In another embodiment, the propellant *is* selected from the group consisting of tetrafluoroethane, tetrafluoropropane, dimethylfluoropropane, heptafluoropropane, dimethyl ether, n-butane and  
25 isobutane.

In yet another embodiment, the mixed micellar pharmaceutical formulation is contained in an aerosol dispenser. *has*

For insulin-containing and some other compositions,  
30 the composition may also contains at least one inorganic salt which opens channels in the gastrointestinal tract

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It will be recognized by those skilled in the art that for many pharmaceutical compositions it is usual to add at least one antioxidant to prevent degradation and oxidation of the pharmaceutically active ingredients.

15 In one embodiment the antioxidant is selected from the group consisting of tocopherol, deteroxime mesylate, methyl paraben, ethyl paraben and ascorbic acid and mixtures thereof. A preferred antioxidant is tocopherol.

Non-limiting examples of effective protease inhibitors are bacitracin, soyabean trypsin, aprotinin and bacitracin derivatives, e.g. bacitracin methylene disalicylate. Bacitracin is the most effective of those named when used in concentrations of from 1.5 to 2 wt./wt.%. Soyabean trypsin and aprotinin two may be

used in concentrations of about 1 to 2 wt./wt.% of the formulation.

The formulation suitable for delivery through oral mucosal membranes may be in chewable form, in which case  
5 it will be necessary to add ingredients suitable for such form. Such ingredients include guar gum, powdered acacia, carrageenin, beeswax and xanthan gum.

The pharmaceutical agent may be selected from a wide variety of macromolecular agents, depending on the  
10 disorder being treated, generally with molecular weights greater than about 1000 and especially between about 1000 and 2 000 000. Preferred pharmaceutical agents are selected from the group consisting of insulin, heparin, low molecular weight heparin, hirulog, hirugen,  
15 huridine, interferons, interleukins, cytokins, mono and polyclonal antibodies, immunoglobins, chemotherapeutic agents, vaccines, glycoproteins, bacterial toxoids, hormones, calcitonins, insulin like growth factors (IGF), glucagon like peptides (GLP-1), large molecule  
20 antibiotics, protein based thrombolytic compounds, platelet inhibitors, DNA, RNA, gene therapeutics and antisense oligonucleotides, and small molecule drugs, e.g. opioids, narcotics, analgesics, NSAIDS, steroids, hypnotics, pain killers, morphine and the like.

25 The present invention also provides a process for making a pharmaceutical composition suitable for delivery through transdermal membranes comprising:  
a) preparing a proteinic pharmaceutical agent composition in micellar form in an aqueous medium which  
30 has an alkali metal salicylate in a concentration of from 1 to 10 wt./wt.% of the aqueous micellar

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In one embodiment, the process provides an additional step of adding, while continuing vigorous mixing, at least one absorption enhancing compound different from that added in step b), selected from the group consisting of lecithin, hyaluronic acid, pharmaceutically acceptable salts of hyaluronic acid, octylphenoxypolyethoxyethanol, glycolic acid, lactic acid, chamomile extract, cucumber extract, oleic acid,

In one embodiment, the process provides an additional step of adding, while continuing vigorous mixing, at least one absorption enhancing compound different from that added in step b), selected from the group consisting of lecithin, hyaluronic acid, pharmaceutically acceptable salts of hyaluronic acid, octylphenoxypolyethoxyethanol, glycolic acid, lactic acid, chamomile extract, cucumber extract, oleic acid,

linolenic acid, borage oil, evening of primrose oil, trihydroxy oxo cholanylglycine, glycerin, polyglycerin, lysine, polylysine, triolein and mixtures thereof.

In one embodiment the alkali metal lauryl sulphate  
5 is sodium lauryl sulphate.

In another embodiment the alkali metal salicylate is sodium salicylate.

In a further embodiment the alkali metal edetate may be selected from the group consisting of disodium edetate and dipotassium edetate.

In yet another embodiment, the formulation has a combinations selected from the group consisting of sodium hyaluronate and unsaturated phospholipid, ii) Phospholipon-H and glycolic acid, and iii) sodium  
15 hyaluronate and lecithin.

The present invention also provides a process for making a pharmaceutical composition suitable for delivery by means of an aerosol comprising:

a) preparing a pharmaceutical agent composition in micellar form in an aqueous medium which has an alkali metal C8 to C22 alkyl sulphate in a concentration of from 1 to 10 wt./wt.% of the aqueous micellar pharmaceutical agent composition, a pharmaceutically acceptable edetate in a concentration of from 1 to 10 wt./wt.% of the aqueous micellar pharmaceutical agent composition, at least one alkali metal salicylate in a concentration of from 1 to 10 wt./wt.% of the aqueous micellar pharmaceutical agent composition;

b) slowly adding the micellar proteinic pharmaceutical agent composition to at least one of the absorption enhancing compounds selected from the group consisting

of lecithin, hyaluronic acid, pharmaceutically acceptable salts of hyaluronic acid, octylphenoxypolyethoxyethanol, glycolic acid, lactic acid, chamomile extract, cucumber extract, oleic acid, 5 linolenic acid, borage oil, evening of primrose oil, menthol, trihydroxy oxo cholanylglycine and pharmaceutically acceptable salts thereof, glycerin, polyglycerin, lysine, polylysine, polidocanol alkyl ethers and analogues thereof, triolein and mixtures 10 thereof, while mixing vigorously, to form a mixed micellar composition; and optionally

c) an additional step of adding, while continuing vigorous mixing, at least one micelle forming compound different from that added in step b), selected from the 15 group consisting of lecithin, hyaluronic acid, pharmaceutically acceptable salts of hyaluronic acid, glycolic acid, lactic acid, chamomile extract, cucumber extract, oleic acid, linoleic acid, linolenic acid, monoolein, borage oil, evening of primrose oil, 20 glycerin, polyglycerin, lysine, polylysine, triolein, polyoxyethylene ethers and analogues thereof, polidocanol alkyl ethers and analogues thereof, and mixtures thereof;

d) mixing the mixed micellar composition resulting 25 from steps a) to c) with a phenol selected from the group consisting of phenol, m-cresol and mixtures thereof; and subsequently

e) placing the formulation into an aerosol dispenser and charging the dispenser a propellant; *known from US 4,425,000*  
30 *such aerosol dispensers* wherein each of the absorption enhancing compounds are present in a concentration of from 1 to 10 wt./wt. %

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5        The vigorous mixing may be accomplished using high speed stirrers, The vigorous mixing may be accomplished by using high speed stirrers, e.g. magnetic stirrers or propellor stirrers, or by sonication.

### Detailed Description of Preferred Embodiments

For example, hormones which may be administered with the present invention include thyroids, androgens, estrogens, prostaglandins, somatotropins, gonadotropins, erythropoetin, interferons, interleukins, steroids and cytokins. Vaccines which may be administered with the present invention include bacterial and viral vaccines such as vaccines for hepatitis, influenza, tuberculosis, canary pox, chicken pox, measles, mumps, rubella,

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estrogens, prostaglandins, somatotropins, gonadotropins,  
erythropoetin, interferons, interleukins, steroids and  
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present invention include bacterial and viral vaccines  
30 such as vaccines for hepatitis, influenza, tuberculosis,  
canary pox, chicken pox, measles, mumps, rubella,

-As will be understood, the concentration of the pharmaceutical agent is an amount sufficient to be effective in treating or preventing a disorder or to regulate a physiological condition in an animal or human. The concentration or amount of pharmaceutical agent administered will depend on the parameters determined for the agent and the method of administration, e.g. oral, nasal. For example, nasal formulations tend to require much lower concentrations of some ingredients in order to avoid irritation or burning of the nasal passages. It is sometimes desirable to dilute an oral formulation up to 10-100 times in order to provide a suitable nasal formulation.

The mixed micellar formulation is prepared by first preparing a first micellar composition which contains the pharmaceutically active agents, alkali metal C8 to C22 alkyl sulphate, edetate and alkali metal salicylate. For those compositions intended for administration through the nasal, oral, vaginal or rectal cavities, the first micellar composition is then added to at least one of the absorption enhancing compounds to form a mixed micellar composition. At least one other absorption enhancing compound may also be added subsequently.

When making the aerosol formulation, the phenol and/or m-cresol and/or isotonic agent are then added.

Although the present invention has such wide applicability, the invention is described hereinafter with particular reference to insulin and its analogues, 15 which are used for the treatment of diabetes.

As indicated hereinbefore, the compositions of the present invention require that the pharmaceutical formulation be in mixed micellar form.

In the case of insulin, which is intended for administration through nasal or oral cavities, the first micellar solution may be made by adding a buffer solution to powdered insulin, and then stirring until the powder is dissolved and a clear solution is obtained. A typical buffer solution is an aqueous solution of sodium salicylate and sodium lauryl sulphate and disodium edetate. Typical concentration of sodium salicylate and sodium lauryl sulphate in the aqueous solution are about 3 to 20 wt./wt.% of each compound in the solution. Typically, insulin is present in the micellar solution in an amount which will give a concentration of about 2 to 4 wt./wt.% of the final

formulation. Typically the concentration may be about 10 wt./wt.% of the first micellar composition.

The micellar solution is then added slowly to the first absorption enhancing compound, e.g. lecithin while  
5 mixing vigorously, e.g. sonicating, to form a mixed micelle liposomal solution. At least one other absorption enhancing compounds selected from the group consisting of lecithin, hyaluronic acid, pharmaceutically acceptable salts of hyaluronic acid,  
10 octylphenoxypolyethoxyethanol, glycolic acid, lactic acid, chamomile extract, cucumber extract, oleic acid, linolenic acid, borage oil, evening of primrose oil, trihydroxy oxo cholanylglycine, glycerin, polyglycerin, lysine, polylysine, triolein is then added. The mixing  
15 may be done with a high speed mixer or sonicator to ensure uniform micelle particle size distribution within the formulation.

Each of the absorption enhancing compounds, when present, is in a concentration of from 1 to 10 wt./wt.%  
20 of the total formulation.

Preferred salts of hyaluronic acid are alkali metal hyaluronates, alkaline earth hyaluronates and aluminium hyaluronate. The preferred salt is sodium hyaluronate. The preferred concentration of hyaluronic acid or  
25 pharmaceutically acceptable salts of hyaluronic acid is from 1 to 5 wt./wt.% of the total formulation. An even more preferred range is from 1.5 to 3.5 wt./wt.% of the total formulation.

Other ingredients may be added to the mixed  
30 micellar solution. For example, flavouring agents, antioxidants, salts, protease inhibitors or other

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5 propellant. in a manner known in the art,

10 drugs and to extend their shelf life.

15 or protein used, the dosage form and the site of

20 nm or less) by the virtue of its preparation methods

25 gum and other suitable forms may be used. Utilization

30 to the specific site and be absorbed. It is also

5       The invention is illustrated by reference to the following examples.

A first experiment was conducted to provide data for comparative purposes. This example does not fall within the scope of the present invention.

In one set of tests, five healthy non-diabetic human volunteers were tested with insulin, by injection. In another set of tests the volunteers were tested with 20 insulin, taken orally. The volunteers fasted from midnight prior to the test, with no food being taken during the 4 hour study.

The average results for the five volunteers, of the

first day's trial (sub-cutaneous injection with 10 units) were as follows:

Table I

	Time*:	0	15	30	60	75	90	120	150	180
5	Avg:	5.8	5.8	5.4	5.0	4.6	4.3	3.8	3.6	3.4
	Time*:	210	240							
	Avg:	4.2	4.5							
	* time in minutes									

The results for each of the five volunteers, of the 10 second day's trial (oral drops with 100 units) were as follows:

Table II

	Time*:	0	15	30	60	75	90	120	150	180
	Subject Nos:									
15	1	6.2	5.8	5.2	5.0	4.9	5.0	5.0	4.8	4.7
	2	5.8	5.4	5.0	4.7	4.9	4.3	5.0	5.5	5.2
	3	4.8	4.6	4.3	4.3	4.4	4.6	4.8	4.7	5.2
	4	6.6	6.1	5.8	5.5	5.1	4.9	5.0	5.0	5.9
	5	6.0	5.8	5.7	5.5	5.1	4.8	4.7	4.9	5.0
20	Time*:		210	240						
	Subject Nos:									
	1		5.5	6.0						
	2		5.8	6.1						
	3		5.5	5.1						
25	4		6.2	6.8						
	5		5.9	6.7						
	* time in minutes									

These tests indicate that compared to the injection method, oral insulin gives a faster onset of action and lowers blood glucose levels without creating hypoglycaemic condition. Due to the hepatic glucose

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production, there was a rebound effect. This is believed to be due to the incomplete absorption of insulin.

### Example 2

5        Another experiment, not within the scope of the present invention, was performed for comparative purposes.

Oral insulin (100 units) was formulated in (Phospholipon-H, 10 mg) without any sodium lauryl sulphate, sodium salicylate, edetate or absorption enhancers, to evaluate its efficacy of blood glucose lowering in a fasted state, for healthy volunteers.

Volunteers were asked to fast overnight and not have any breakfast prior to dosing. Volunteers were asked to take this oral insulin formulation in their mouth and swallow it. Blood glucose levels were monitored every 15 minutes using Bayer's glucometer Elite for 3 hours, and the average results for 5 volunteers are shown in Table III.

20 Table III

Time*:0	15	30	45	60	75	90	120	150	180
Avg: 5.6	5.8	5.8	5.7	5.7	5.8	5.7	5.7	5.8	5.7

\* time in minutes

This indicates that orally administered insulin  
25 with lecithin alone has no effect on blood glucose  
lowering.

### Example 3

A further experiment, not within the scope of the present invention, was performed for comparative purposes.

Oral insulin (100 units) was formulated with sodium

salicylate and alkali metal edetate (both 5% by wt.) to evaluate its efficacy of blood glucose lowering in fasted state in healthy volunteers.

Volunteers were asked to fast overnight and not have any breakfast prior to dosing. Volunteers were asked to take this oral insulin formulation in their mouth and swallow it. Blood glucose levels were monitored every 15 minutes using Bayer's glucometer Elite for 3 hours and the average results for 5 volunteers are shown in Table IV.

Table IV

Time*:	0	15	30	45	60	75	90	120	150	180
Avg:	5.8	5.8	5.8	5.9	5.8	5.9	5.7	5.9	6.2	6.0
* time in minutes										

This indicates that orally administered insulin with sodium salicylate and alkali metal edetate alone has no effect on blood glucose lowering. In addition, this formulation caused irritation and burning sensation, which lasted for several hours.

Example 4

A further experiment, not within the scope of the present invention, was performed for comparative purposes.

Oral insulin (100 units) was formulated using sodium salicylate and alkali metal edetate (both 5% by wt.) with Phospholipon-H (10 mg) and tested on healthy subjects. Blood glucose levels were monitored every 15 minutes using Bayer's glucometer Elite for 3 hours and the results are shown in Table V.

Table V

Time*:	0	15	30	45	60	90	120	180
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\* time in minutes

### Example 5

10 Oral insulin (50 units) was formulated using only alkali metal lauryl sulphate (5% by wt). Blood glucose levels were monitored every 15 minutes using Bayer's glucometer Elite for 3 hours and the average results for four volunteers are shown in Table VI.

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Time*:    0    15    30    60    90    120    180
Avg:      5.8  5.6  5.4  5.3  5.4  5.4  5.6
* time in minutes

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## 25 Example 6

Mixed micellar oral insulin (50 units) was formulated using alkali metal lauryl sulphate and sodium salicylate (both 4.4% by wt.) and alkali metal edetate (2.2% by wt) with Phospholipon-H (10 mg) and tested on

healthy volunteers.

The method involved mixing the sodium lauryl sulphate, sodium salicylate and alkali metal edetate with water in a beaker with a magnetic stirrer at medium speed until the ingredients were dissolved, to form buffer solution. Insulin powder was placed in a beaker and to this powder was added the buffer solution. The solution was continuously stirred using a magnetic stir bar until all of the insulin powder was dissolved and a clear solution obtained. The micellar solution so formed was stored in clean glass bottles and refrigerated.

Mixed micellar liposomal insulin was then prepared in a glass beaker, in which was placed the Phospholipon-H and a small amount of isopropyl alcohol. The mixture was stirred at a high speed (1000 rpm) for about 10 minutes to ensure complete dissolution of the Phospholipon-H. To this solution was added the micellar insulin solution very slowly, drop wise, using glass dropper, with continuous stirring at a high speed. The solution was stirred continuously for another 30 minutes at a high speed to ensure uniform micellar particle size distribution.

Samples of the mixed micellar solution were taken orally by the volunteers.

Blood glucose levels were monitored every 15 minutes using Bayer's glucometer Elite for 3 hours and the average results for 5 volunteers are shown in Table VII.

Table VII

Time*:	0	15	30	45	60	90	120	150	180
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Avg:        6.5   6.1   5.5   5.3   5.3   5.4   5.5   5.5   5.5  
\* time in minutes

This data shows that orally administered insulin with alkali metal lauryl sulphate combined with the sodium salicylate and alkali metal edetate with Phospholipon-H has a small metabolic effect on blood glucose levels in healthy volunteers.

Example 7

An experiment, within the scope of the present invention, was performed. In this example, the formulation was for oral administration.

Oral insulin (50 units) was formulated using alkali metal lauryl sulphate and sodium salicylate (both 4.4% by wt.) and alkali metal edetate (2.2% by wt.) with Phospholipon-H (10 mg) and sodium hyaluronate (1.1% by wt). This formulation was tested on healthy subjects under fasting condition.

The method involved mixing the sodium lauryl sulphate, sodium salicylate and alkali metal edetate with water in a beaker with a magnetic stirrer at medium speed until the ingredients were dissolved, to form buffer solution. Insulin powder was placed in a beaker and to this powder was added the buffer solution. The solution was continuously stirred using a magnetic stir bar until all of the insulin powder was dissolved and a clear solution obtained. The micellar solution so formed was stored in clean glass bottles and refrigerated.

Mixed micellar liposomal insulin was then prepared in a glass beaker, in which was placed the Phospholipon-H and a small amount of isopropyl alcohol. The mixture

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lauryl sulphate, 0.5 g sodium salicylate and 0.25 g disodium edetate dissolved in 10 mL of water. The solution was added to insulin and mixed, to form micellar insulin.

- 5 Separately, 100 mg of powdered Phosphatidylcholin-H was added to a glass beaker and to this powder was added 10 mL 50% ethanol. The powder was dissolved completely. To this solution 16 mg (400 units) of micellar insulin solution dissolved in 3 mL of the buffer solution to  
10 (give 30 units/mL insulin solution) was added slowly with vigorous mixing, to form a mixed micellar solution. To this was added 0.6 mL of sodium hyaluronate and 0.2 mL of 2% menthol solution containing 3% sorbitol.

- In one set of tests, ten Type II diabetic human  
15 volunteers who took insulin, by injection three times a day, were studied. In another set of tests the volunteers were tested with insulin, taken orally. The volunteers fasted from midnight prior to the test, with no food being taken during the 4 hour study.

- 20 On the first day, the volunteers received 10 units insulin by injection (regular fast acting insulin, available from Eli Lilly). On the second day, the volunteers received 30 units (1 mL volume per drop, approximately 20 drops) of the above-prepared oral  
25 insulin (3 times the injection dose). In both tests, blood glucose levels were monitored every 15 minutes by Bayer's Glucometer Elite.

The results, showing the average for the ten volunteers, were as shown on the following page:

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Table IX

Blood glucose levels (mmol/L)		
Time (minutes)	Oral Dose (30 units)	Injection (10 units)
5		
0	6.4	6.8
15	5.8	6.9
30	5.4	6.1
45	5.3	5.8
10 60	5.3	5.8
75	5.2	5.8
90	5.2	5.4
105	5.2	5.4
120	5.1	5.2
15 135	5.1	5.1
150	5.2	4.9
165	5.3	4.9
180	5.3	4.8
195	5.4	4.8
20 210	5.4	5.2
225	5.6	5.2
240	5.6	5.4

The results show that the oral insulin formulation of the present invention, at a dosage of three times higher than the injected level, is comparable to the injected insulin.

Example 9

This example illustrates a method for making a mixed micellar formulation according to the present invention.

In a 250 mL capacity glass beaker was added 5 g



sodium lauryl sulphate, 5 g sodium salicylate and 2.5 g edetate. The beaker was placed on the hot plate with a magnetic stirrer. To this dry powder mixture was added 100 mL distilled water and the mixture was stirred, 5 using the magnetic stir bar, at a medium speed until all the powder was dissolved. The buffer solution was stored in a clean glass bottle at room temperature (pH 6.5).

A micellar insulin solution was then prepared in a 10 50 mL capacity glass beaker, into which was placed 11.54 mg insulin powder. To this powder was added 10 mL of the buffer solution. The solution was continuously stirred using a magnetic stir bar until all of the insulin powder was dissolved and a clear solution 15 obtained. The micellar solution so formed was stored in clean glass bottles and refrigerated.

A 2% menthol solution was then prepared from 100 mg menthol crystals, dissolved in 5 mL ethanol. To this solution was added 5 mg FD & C blue dye. The solution 20 was stirred for 10 minutes and stored in a glass bottle at room temperature.

Mixed micellar liposomal insulin was then prepared in a 50 mL glass beaker, in which was placed 100 mg of phosphatidylcholine (Sigma, type I-EH, hydrogenated). To 25 this powder was added 10 mL of isopropyl alcohol. The mixture was stirred at a high speed (1000 rpm) for about 10 minutes to ensure complete dissolution of the phosphatidylcholine. To this solution was added the micellar insulin solution very slowly, drop wise, using 30 glass dropper, with continuous stirring at a high speed. The solution was stirred continuously for another 30

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minutes at a high speed to ensure uniform micellar particle size distribution. To this solution was added 1 mL of the 2% menthol solution and 50 mg sodium hyaluronate. The semi-clear, translucent, light blue colour, liposomal insulin mixed micellar solution (final volume 15 mL) was stored in a clean glass bottle and refrigerated. The solution had a pH of 6.5.

If the phosphatidylcholine powder does not dissolve completely, then heating up to about 45°C may be required, e.g. using a water bath.

It has been found that if the micellar insulin composition is not added slowly, then the mixed micellar formulation will not be formed and the formulation will be gelatinous and sticky.

Example 10

The formulation of Example 9 was tested in a manner similar to that indicated in Example 8 except that the formulation of the present invention was administered nasally.

On the first day, the ten volunteers each received 10 units insulin injection (regular fast acting, Eli Lilly). On the second day, the volunteers received 20 units of the "oral" insulin of Example 9 (2 times the injection dose). The "oral" insulin was administered as drops (0.4 mL volume per drop, approximately 4 large drops in total, i.e. two drops in each nostril).

The results, showing the average for the ten volunteers, were as follows:

Table X

	Blood glucose levels (mmol/L)
Time (minutes)	Nasal Dose                      Injection

	(20 units)	(10 units)
0	7.4	6.8
15	6.7	7.0
30	5.9	6.8
5 45	5.3	6.3
60	5.0	6.3
75	5.2	5.8
90	5.1	5.2
105	5.0	5.0

10 Table X (continued)

Blood glucose levels (mmol/L)		
Time (minutes)	Nasal Dose (20 units)	Injection (10 units)
120	4.6	5.2
15 135	4.5	4.2
150	4.3	4.6
165	4.3	4.0
180	4.8	4.1
195	5.3	4.3
20 210	5.4	4.5
225	5.7	4.7
240	5.6	5.0

The results show that the nasal insulin formulation of the present invention, at a dosage of twice the injected level, is comparable to the injected insulin.

Example 11

The formula of Example 9 was taken and tests performed to determine the insulin action on meal glucose on healthy volunteers.

30 Usually, diabetic patients take an insulin injection 30 minutes prior to a meal, because injected

insulin takes a long time to take effect. Injected insulin is slowly absorbed into bloodstream within 60 minutes and has metabolic effect on meal glucose levels.

The mixed micellar formulation of Example 9 was 5 tested in healthy volunteers under controlled conditions to determine the oral insulin effect on meal glucose when compared to injected insulin.

In one set of tests, ten healthy non-diabetic human volunteers were tested with insulin, by injection. In 10 another set of tests the volunteers were tested with insulin, taken orally. The volunteers fasted from midnight prior to the tests, with food being taken 30 minutes after dosing. The meals were standard Sastacal 240 mL liquid diet approved by the Diabetic Society, 15 containing 400 calories.

On the first day, the volunteers received 10 units insulin by injection (regular fast acting insulin, available from Eli Lilly). On the second day, the volunteers received 30 units of the above-prepared oral 20 insulin (3 times the injection dose). In both tests, blood glucose levels were monitored every 15 minutes by Bayer's Glucometer Elite. The results are shown on the following page:

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Blood glucose levels (mmol/L)

The results indicate that the oral insulin helps control meal glucose levels in healthy volunteers when compared to injected insulin.

The mixed micellar formulation of Example 9 was tested in diabetic volunteers under controlled conditions to determine the oral insulin effect on meal glucose when compared to injected insulin.

In one set of tests, ten Type II diabetic human volunteers who took insulin, by injection three times a day, were studied. In another set of tests the  
30 volunteers were tested with insulin, taken orally. The volunteers fasted from midnight prior to the tests, with

On the first day, the volunteers received 10 units  
5 insulin by injection (regular fast acting insulin,  
available from Eli Lilly). On the second day, the  
volunteers received 30 units of the above-prepared oral  
insulin (3 times the injection dose). In both tests,  
blood glucose levels were monitored every 15 minutes by  
10 Bayer's Glucometer Elite.

30       The results indicate that oral insulin helps to  
control meal glucose levels in diabetic patients when

Blood glucose levels (mmol/L)

15	Time (minutes)	Oral Dose (30 units)	Injection (10 units)
	0	8.8	8.7
	15	8.1	8.8
	30	8.0	8.9
20	45	8.4	10.1
	60	10.2	11.8
	75	11.8	11.8
	90	12.3	12.2
	105	10.8	11.2
25	120	9.6	10.4
	135	8.1	8.4
	150	6.9	7.3
	165	6.2	6.5
	180	4.8	4.3

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5       The average results for the five volunteers were as follows:

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Time (minutes)

Injection  
(10 units)

### Example 14



Another experiment, within the scope of the present invention, was performed. In this example, the formulation was for oral administration.

A buffer solution was prepared using 0.5 g sodium lauryl sulphate, 0.5 g sodium salicylate and 0.25 g disodium edetate dissolved in 10 mL of water. The solution was added to 8 mg (200 units) insulin and mixed, to form micellar insulin.

To this micellar solution were added 0.2 g bacitracin and 0.5 g evening of primrose oil and the solution was mixed vigorously to form a mixed micellar insulin solution (about 20 units/mL).

Six human volunteers were studied. The volunteers fasted from midnight prior to the test, with no food being taken during the 4 hour study.

On the first day, the volunteers received 10 units insulin by injection (regular fast acting insulin, available from Eli Lilly). On the second day, the volunteers received 20 units of the above-prepared oral insulin (twice the injection dose). In both tests, blood glucose levels were monitored at intervals by Bayer's Glucometer Elite.

The results, showing the average for the six volunteers, were as follows:

Table XIV		
Blood glucose levels (mmol/L)		
Time (minutes)	Oral Dose (20 units)	Injection (10 units)
0	8.8	7.9
30 15	8.4	7.9
30	8.1	8.2

	45	7.4	8.3
	60	6.3	7.6
	90	5.1	6.2
	120	5.0	5.2
5	150	4.8	4.6
	180	5.1	3.9
	210	5.3	4.4
	240	5.6	5.2

The results show that the oral insulin formulation  
of the present invention, at a dosage of twice the  
injected level, is comparable to the injected insulin.

Example 15

A further experiment was performed to show another  
method of making the mixed micellar formulation of the  
present invention.

In a 250 mL round bottom flask was added 100 mg of  
saturated lecithin powder (Phospholipon-90H) purchased  
from the American Lecithin Co. To this powder was added  
5 mL of absolute ethanol (USP grade). The flask was  
then attached to a rotary evaporator equipped with the  
vacuum pump and nitrogen inlet for inert atmosphere  
condition to minimize oxidation of the lecithin. The  
flask was rotated at 100-150 rpm under vacuum. The  
solution in the flask was heated to 60°C by means of  
water bath to dissolve the powder completely. After  
complete dissolution of the powder, heating was stopped  
and the rotation speed was increased to 300 rpm, under  
vacuum in nitrogen atmosphere until the alcohol  
evaporated completely, leaving a uniform film on the  
side of the flask. The rotation was continued for at  
least 30 minutes to ensure uniform coating of film on

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the wall and complete solvent removal. After 30 minutes the rotation was stopped and the vacuum was released.

To this flask was added micellar insulin solution which had been prepared from an aqueous solution of insulin, sodium lauryl sulphate, sodium salicylate and disodium edetate. The flask was shaken with the help of shaker plate. Shaking was continued for at least 30 minutes and then the solution was sonicated with a high frequency sonicating probe for another 60 minutes in order to form small uniform mixed micelles. The mixed micelles so obtained were analyzed by Malvern Zeta (trade mark) particle size distribution measurement equipment equipped with the laser light scattering device. The mixed micelles particle size distribution obtained by this method was between 2 and 9nm. To this solution was added 1 mL of 2% menthol solution and 50 mg sodium hyaluronate. The semi-clear, translucent, light blue colour solution (final volume 10 mL) was stored in a clean glass bottle and refrigerated. The solution had a pH of 6.5.

#### Example 16

Another experiment, within the scope of the present invention, was performed.

A buffer solution was prepared using 0.5 g sodium lauryl sulphate, 0.5 g sodium salicylate and 0.25 g disodium edetate dissolved in 10 mL of water. The solution was added to 8 mg (200 units) insulin and mixed, to form micellar insulin.

To this micellar solution were added 0.5 g borage oil and the solution was mixed vigorously to form a

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mixed micellar insulin solution (about 20 units/mL).

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